

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Patent Application of  
Daniel Hunter Stone et al.

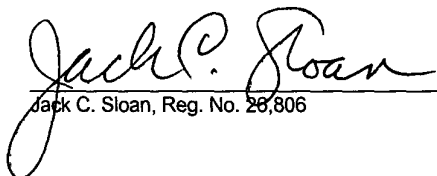
Serial No:

Filed: Herewith

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Jack C. Sloan, Reg. No. 28,806

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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Applicants are aware of the art listed on the attached PTO/SB/08A forms 1-2 and PTO/SB/08B forms 3-4. Copies of the art on forms 1-4 are not being enclosed pursuant to 37 CFR 1.98(d) as those of forms 1 and 3-4 were provided in the related application (SN 09/621,504) and those of form 2 were provided in the related application (SN 10/124,552), both of which related applications are identified in the enclosed Preliminary Amendment. Copies of forms 1 and 3-4 initialed by the Examiner along with copies of the PTO-892 form (which patents are listed on form 2) in these related applications are also enclosed. If the

Examiner would like additional prior art copies of the forms 1 and 3-4 provided, he is requested to call the attorney listed below.

Respectfully submitted,

DORR, CARSON, SLOAN & BIRNEY, P.C.

Date: Aug. 5, 2003

By: Jack C. Sloan  
Jack C. Sloan  
Reg. No. 26,806  
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(303) 333-3010



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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	
Filing Date	Herewith
First Named Inventor	Daniel Hunter Stone
Art Unit	
Examiner Name	
Attorney Docket Number	1739/29(b)

Sheet	2	of	4
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

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PTO/SB/088 (10-96)  
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				Application Number	
				Filing Date	
				First Named Inventor	
				Group Art Unit	
				Examiner Name	
Sheet	3	of	4	Attorney Docket Number	1739/29 (b)

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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>Substitute for form 1449B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	
				Filing Date	Herewith
				First Named Inventor	Daniel Hunter Stone
				Group Art Unit	
				Examiner Name	
Sheet	4	of	4	Attorney Docket Number	1739/29(b.)

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		F. DEMILLY and B. CATOT, Improved wheel life for heavy haul transport rolling stock, RAC, June 9th-12th, 1996, pp. 5.34-5.43, Montreal	
		B. CATOT/F. DEMILLY, Improved wheel life for heavy haul transport rolling stock, June 9-12, 1996, pp. 1-12, IHHA Congress, Montreal	

Examiner Signature		Date Considered	
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				Application Number	
				Filing Date	Herewith
				First Named Inventor	Daniel Hunter Stone
				Group Art Unit	
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	1739/29(a)

71040 U.S. PAT.

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Examiner Signature	Deborah Yee	Date Considered	2-10-03
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code (WIPO Standard ST.3). <sup>7</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>8</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>9</sup> Applicant is to place a check mark here if English language Translation is attached.

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## Application Number

Filing Date

Herewith

**First Named Inventor**

**Daniel Hunter Stone**

Group Art Unit

Examiner Name

Sheet

2

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3

Attorney Docket Number

1739/29 (a)

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Examiner  
Signatur

Debaraj Yel

Date \_\_\_\_\_

**Considered**

2-10-03

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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if Enclosure

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Substitute for form 1449B/PTO

*(use as many sheets as necessary)*

Sheet	3	of	3
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**Complete if Known**

Application Number

Filing Date

Herewith

**First Named Inventor**

**Daniel Hunter Stone**

**Group Art Unit**

Examiner Name

Attorney Docket Number

1739/29(a)

#### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature	Deborah Y. Lep	Date Considered	2-10-03
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<b>Notic of R ferences Cited</b>	Application/Control No. 10/124,552	Applicant(s)/Patent Under Reexamination HUNTER ET AL.	
	Examiner Deborah Yee	Art Unit 1742	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-4230488	10-1980	Heller et al	148/320
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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	V	
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	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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